

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Application of:**  
**Moon, et al**

**Serial No.: 10/025,144**

**Confirmation No.: 5051**

**Filed: December 18, 2001**

**For: Method and Apparatus for  
Polishing Metal and  
Dielectric Substrates**

**Group Art Unit: 2813**

**Examiner:** Stephen W. Smoot

**Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**Dear Sir:**

**CERTIFICATE UNDER 37 CFR 1.10**

I hereby certify that this correspondence and the documents referred to as attached therein are being deposited on 10/29, 2003, with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee," mailing label No. EV335471025US addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date \_\_\_\_\_

**Signature**

# PRELIMINARY AMENDMENT

Prior to examining the above-referenced application, the Applicants request the application be amended as follows. **Amendments to the Claims** begin on page 2 of this paper. **Remarks** begin on page 10 of this paper.